

Investment Planning Checklist

Please use this checklist as a guide to collect and organize your financial information prior to our meeting

Personal

- Estimate of annual household expenses
- Most recent federal and state income taxes
- Personal property appraisals, if relevant
- Business valuation appraisals, if relevant

Employer Information

- Current paycheck stub
- Summary of company benefit plans and annual benefits summary-if available
- Deferred Compensation and/or bonus deferral plans
- Stock options and/or restricted share statements
- Other company benefit plans
- Group life and disability summary

Savings and Investment

- Bank statements: checking, savings, CDs
- Investment statements: stocks, brokerage, mutual funds
- Annuity statements
- Summary of real estate investments
- Education savings accounts: 529s, UGMA/UTMA, Coverdell savings, Trusts

Retirement Funding

- Retirement savings accounts: traditional IRA, ROTH IRA
- Retirement plan statements: 401(k), 403(b), TSA, Keogh
- Employer-sponsored pension plan summary, if applicable
- Social Security benefits statement, if available

Loans and Liabilities

- Mortgage, home equity loans, student loans, any other loan statements

Insurance Information

- Life insurance statements
- Disability Insurance statements
- Long term care insurance statements

Estate Planning Documents

- Wills, power of attorney documents, advanced health care directives
- Revocable and irrevocable trust documents
- Gift tax returns, if applicable

Wells Fargo Advisors Financial Network and ENI Wealth Management Group are not legal or tax advisors.

Investment products and services are offered through Wells Fargo Advisors Financial network, LLC (WFAFN), Member SIPC. ENI Wealth Management Group is a separate entity from WFAFN

92 Zephyr Road
Williston, Vermont 05495
www.bfwi.com
P-802-662-2760
F-802-662-2766